

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No:

To Be Assigned

Applicants:

Toru MIYANO

Filed:

Herewith

Title:

RECORDING MEDIUM APPARATUS (AS AMENDED)

TC/A.U.: Examiner:

To Be Assigned To Be Assigned

Confirmation No.:

To Be Assigned

Docket No.:

MTS-3528US

INFORMATION DISCLOSURE STATEMENT

Mail Stop PCT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following Japanese references are not in the English language:

- 1) 2002-343074
- 2) 2002-259066
- 3) Microfilm of the specification and drawings annexed to the request of Japanese Utility Model Application No. 120389/1988 (Laid-open No. 042251/1990) (NEC Corp.)



4) 04-264627

References 1), 2) and 4) include an English language abstract. Reference 4) also includes a "concise explanation" under 37 CFR § 1.98(a)(3) as set forth in the specification at page 1, line 17.

References 1) to 3) were cited in a search report by a foreign Patent Office in a patent application corresponding to the above-identified patent application. A copy of this search report, which indicates the degree of relevance found by the foreign office, is transmitted herewith. Further, enclosed is an English translation of the search report to explain the special category definitions of the Japanese language search report. MPEP Section 609 IIIA(3), second paragraph.

This Information Disclosure Statement is being filed concurrently with the above-referenced application.

Respectfully submitted,

Daniel N. Calder, Reg. 27,424

... I N. Carda

Attorney for Applicant

DNC/fp

Enclosures: PTO Form 1449 (2 sheets)

Copies of (4) References

International Search Report (with English translation)

Dated: October 4, 2004

P.O. Box 980 Valley Forge, PA 19482-0980 (610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

EXPRESS MAIL: Mailing Label Number: <u>EV 447 719 780 US</u>

Date of Deposit: <u>October 4, 2004</u>

I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

KATHLEEN LIBBY

DT04 Rec'd PCT/PT0 0 4 OCT 2004

PTO/SB/08A (AW 4/25/03) (04-03) pproved for use through 04/30/2004. OMB 0651-0031 ademark Office: U.S. DEPARTMENT OF COMMERCE U.S. Paten

SHEET 1 of 2

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

MTS-3528US

Substitute for Form 1449/PTO	Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	Application Number	To Be Assigned 10/510117	
	Filing Date	Herewith	
	First Named Inventor	Toru MIYANO	
	Art Unit	To Be Assigned	
	Examiner Name	To Be Assigned	

Attorney Docket No.

U.S. PATENT DOCUMENTS Document Number Pages, Columns, Lines, Where Name of Patentee or Relevant Passages or Relevant Cite **Publication Date** Examiner Number - Kind Code^{2 (if known)} Applicant of Cited Document Initials* No.1 (MM-DD-YYYY) Figures Appear

Examiner Initials*	Cite No.1	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)	Publication Date (MM-DD- YYYY)			™
		JP 2002-343074 A (with English abstract)	11/29/02	MATSUSHITA ELEC IND CO LTD		
		JP 2002-259066 A (with English abstract)	09/13/02	MATSUSHITA ELEC IND CO LTD		
		JP 04-264627 (with English abstract)	09/21/92	NIKON CORP		

Examiner	Date	
Signature	Considered	

Applicant's unique citation designation number (optional).

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

⁶Applicant is to place a check mark here if English language translation is attached.

Substitute for Form 1449/PTO	Complete if Known		
	Application Number	To Be Assigned	
INFORMATION DISCLOSURE	Filing Date	Herewith	
STATEMENT BY APPLICANT (Use as many sheets as necessary)	First Named Inventor	Toru MIYANO	
	Art Unit	To Be Assigned	
	Examiner Name	To Be Assigned	
SHEET 2 of 2	Attorney Docket No.	MTS-3528US	

NON-PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²	
		Japanese International Search Report for PCT/JP2003/16136, dated February 24, 2004, (with English translation).	Ø	
		Microfilm of the specification and drawings annexed to the request of Japanese Utility Model Application No. 120389/1988 (Laid-open No. 042251/1990) (NEC Corp.), March 23, 1990.		
,				
Examiner	<u> </u>	Date		
Signature		Considered		

considered. Include copy of this form with next communication to Applicant.

Applicant's unique citation designation number (optional).

Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.